Dynamically Equivalent & Kinematically Distinct Pseudo-Hermitian Quantum Systems

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## Outline

- Motivation
- Pseudo-Hermitian QM & Its Classical Limit
- Indefinite-Metric QM & the C-operator
- Perturbative Determination of the Most General Metric Operator
- Imaginary Cubic Potential
- QM of Klein-Gordon Fields
- Conclusion

### **Classical Mechanics**

Phase space:  $\mathcal{M}$ Observables:  $o_c : \mathcal{M} \to \mathbb{R}$ 

**ynamics:** 
$$\frac{d}{dt}o_c(t) = \{o_c(t), H_c\}_{\text{PB}}$$

 $H_c$ : An observable called "Hamiltonian"

### $H_c$ is real-valued.

### **Quantum Mechanics**

#### Hilbert space: $\mathcal{H}$ Observables: Hermitian operators

 $o: \mathcal{H} \to \mathcal{H}$ 

# **Dynamics:** $i\hbar \frac{d}{dt}\psi(t) = H\psi(t)$

H: An observable called "Hamiltonian" H is Hermitian.

Inner product of  $\mathcal{H}$ :  $\langle \cdot | \cdot \rangle$ **Definition:**  $o: \mathcal{H} \to \mathcal{H}$  is **Hermitian if**  $\langle \psi | o \phi \rangle = \langle o \psi | \phi \rangle$  for all  $\psi, \phi \in \mathcal{H}$ . **Theorem:** Let  $o: \mathcal{H} \to \mathcal{H}$  be a linear operator. Then  $\langle \psi | o \psi \rangle \in \mathbb{R}$ for all  $\psi \in \mathcal{H}$  iff *o* is Hermitian. There is no escape from Hermiticity.

In Classical Mechanics the geometry of the phase space is fixed.

In **Quantum Mechanics** the geometry of the Hilbert Space is fixed.

Is this absolutism justified in QM?

**Answer:** Yes, because up to unitary-equivalence there is a single separable Hilbert space. **Defining inner product of \mathcal{H}:**  $\langle \cdot | \cdot \rangle$ Any other inner product:  $\langle \cdot, \cdot \rangle_{\eta_{+}} = \langle \cdot | \eta_{+} \cdot \rangle$  $\eta_+$  is an everywhere-defined, positive-definite, invertible operator (metric operator).  $\mathcal{H}_{\eta_{+}} := (\mathcal{H}, \langle \cdot, \cdot \rangle_{\eta_{+}})$ 

# Unitary Operator $U : \mathcal{H}_{\eta_+} \to \mathcal{H}:$ $\langle \Psi, \Phi \rangle_{\eta_+} = \langle U\Psi | U\Phi \rangle$ $\rho = \sqrt{\eta_+}$ viewed as mapping $\mathcal{H}_{\eta_+}$ onto $\mathcal{H}$ is a unitary operator.

**States vectors**  $\Psi$  and  $\psi := \rho \Psi$  are in 1-to-1 correspondence. **Observables**  $o: \mathcal{H} \to \mathcal{H}$  and  $O := \rho^{-1} o \rho : \mathcal{H}_{\eta_{\perp}} \to \mathcal{H}_{\eta_{\perp}}$  are in 1-to-1 correspondence.

Expectation values are identical:

$$\frac{\langle \Psi, O\Psi \rangle_{\eta_+}}{\langle \Psi, \Psi \rangle_{\eta_+}} = \frac{\langle \psi | o\psi \rangle}{\langle \psi | \psi \rangle}$$

Let a quantum system be described by  $(\mathcal{H}, o, h)$  where o stands for an arbitrary observable and h the Hamiltonian. Then the same system may be described by  $(\mathcal{H}_{n_{+}}, O, H)$  where  $H = \rho^{-1} h \rho$ .  $(\mathcal{H}, o, h)$  and  $(\mathcal{H}_{\eta_{\perp}}, O, H)$  provide two physically equivalent representations of the system.

Though these representations are equivalent, the very fact that they exist reveals a symmetry of the formulation of QM.

Different reprsentations may prove appropriate for different systems.

## Definition: An everywhere defined, Hermitian, invertible operator $\eta: \mathcal{H} \to \mathcal{H}$ is called a pseudo-metric operator.

Definition: A positive-definite pseudo-metric operator  $\eta_+ : \mathcal{H} \to \mathcal{H}$ is called a metric operator. **Definition:** A linear operator  $H : \mathcal{H} \to \mathcal{H}$ is said to be **pseudo-Hermitian** if there is a pseudo-metric operator  $\eta : \mathcal{H} \to \mathcal{H}$ satisfying  $H^{\dagger} = \eta H \eta^{-1}$ .

Definition: Given a pseudo-metric operator  $\eta$ , any linear operator Hthat satisfies  $H^{\dagger} = \eta H \eta^{-1}$  is called  $\eta$ -pseudo-Hermitian.

### $\mathcal{U}_{H} := \text{Set of all pseudo-metric operators}$ satisfying $H^{\dagger} = \eta H \eta^{-1}$

Fact:  $\mathcal{U}_H$  is either empty or an infinite set. It may or may not include a metric operator. If it does there is an infinity of metric operators in  $\mathcal{U}_H$ . Qxn: Can a  $\mathcal{PT}$ -symmetric differential operator H serve as the Hamiltonian for a unitary quantum system?

> Unitarity  $\downarrow$ H must be Hermitian <u>*H*</u> is diagonalizable & has a real spectrum.

**Theorem:** Let  $H : \mathcal{H} \to \mathcal{H}$  be a diagonalizable linear operator with a discrete spectrum. Then the following conditions are equivalent.

- The spectrum of H is real.
- $\exists$  a metric operator  $\eta_+$  such that H
  - is  $\eta_+$ -pseudo-Hermitian.
- $\exists$  an inner product  $\langle \cdot, \cdot \rangle_{\eta_+}$  satisfying  $\langle \cdot, H \cdot \rangle_{\eta_+} = \langle H \cdot, \cdot \rangle_{\eta_+}.$
- $\exists$  a Hermitian operator  $h : \mathcal{H} \to \mathcal{H}$ such that  $H = \rho^{-1}h \rho$  for an invertible operator  $\rho$ .

H may serve as the Hamiltonian for a unitary quantum system if one defines the physical Hilbert **space** using the inner product  $\langle \cdot, \cdot \rangle_{\eta_{\pm}}$  for some metric operator  $\eta_+$  belonging to  $\mathcal{U}_H$ .

H is diagonalizable:  $\exists$  bases  $\{\psi_n\}$  and  $\{\phi_n\}$  such that  $H\psi_n = E_n\psi_n$  $H^{\dagger}\phi_n = E_n\phi_n$  $\langle \psi_n | \phi_m \rangle = \delta_{mn}$  $\sum_{n} |\psi_n\rangle \langle \phi_n| = 1$  $\{\psi_n, \phi_n\}$  is called a biorthonormal system.

Characterization of  $\eta_+ \in \mathcal{U}_H$ : Let  $\{\psi_n, \phi_n\}$  be as above. Then every  $\eta_+ \in \mathcal{U}_H$  is given by  $\eta_{+} = A^{\dagger} \sum_{n} |\phi_{n}\rangle \langle \phi_{n}| A$ where A is invertible and [A, H] = 0.A characterizes the

arbitrariness in  $\eta_+$ .

## **Pseudo-Hermitian QM:**

- $\mathcal{H}$ : a reference Hilbert space [e.g.,  $L^2(\Gamma)$ ] •  $H : \mathcal{H} \to \mathcal{H}$ : a non-Hermitian Hamiltonian.
- *H*<sub>Phys</sub> := (*H*, ⟨·, ·⟩<sub>η+</sub>) for some η<sub>+</sub> ∈ *U*<sub>H</sub>.
  Observables: *O* := ρ<sup>-1</sup>*o* ρ where ρ := √η<sub>+</sub> and *o* : *H* → *H* is a Hermitian operator.

# Hermitian Hamiltonian: h := ρ Hρ<sup>-1</sup> Pseudo-Hemritian rep.: (H<sub>Phys</sub>, O, H) Hermitian rep.: (H, o, h)



# **Pseudo-Hermitian Canonical** Quantization

**Definition:** Let  $\mathcal{H} = L^2(\mathbb{R})$ . Then  $X := \rho^{-1} x \rho, \quad P := \rho^{-1} p \rho.$ 

are called the pseudo-Hermitian position and momentum operators.

 $[X,P] = i\hbar 1$ 

 $\eta_{+}\text{-}\mathbf{Pseudo-Hermitian} \quad \mathbf{Quantization:}$  $x_{c} \to X, \quad p_{c} \to P, \quad \{\cdot, \cdot\}_{c} \to \frac{1}{i\hbar} [\cdot, \cdot]$ 

Classical Hamiltonian:  $H_c$ Either express H as H = f(X, P)or express h = f(x, p) and let  $H_c = \lim_{\hbar \to 0} f(x_c, p_c).$ 

## For $H = p^2 + V(x)$ with V complex, h, X, P are generally nonlocal operators.



Both representations  $(\mathcal{H}_{Phys}, H, O) \& (\mathcal{H}, h, o)$ involve nonlocal operators.

### **Indefinite-Metric QM**

Definition: A pseudo-metric operator  $\eta$  such that  $\pm \eta$  is not positive-definite is called an indefinite metric.  $\exists \Psi \in \mathcal{H}_{\eta} - \{0\}$  such that  $\langle \Psi, \Psi \rangle_{\eta} \leq 0$ .

 $\langle \cdot, \cdot \rangle_{\eta}$  is an indefinite quadratic form ("inner product").

Fix an indefinite-metric operator  $\eta$ .

Consider Hamiltonian operators Hthat are  $\eta$ -pseudo-Hermitian.

**Physical Hilbert space**:= invariant subspace  $\mathcal{H}_+ \subset \mathcal{H}_\eta$  of  $\eta$  where  $\eta$  is **positive-definite.** 

If  $\mathcal{H}_+$  is invariant under H there is a consistent theory.

#### Suppose that

**1.**  $\exists \mathcal{H}_{\pm} \subset \mathcal{H}_n$  invariant under  $\eta$  and H. 2.  $\pm \eta$  is positive-definite on  $\mathcal{H}_{\pm}$ . 3.  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$ . 4.  $\mathcal{H}_+ \perp \mathcal{H}_-$  with respect to  $\langle \cdot, \cdot \rangle_n$ . Then  $\Psi_i = \Psi_i^+ + \Psi_i^-$  with  $\Psi_i^{\pm} \in \mathcal{H}_{\pm}$  and  $\langle \Psi_1, \Psi_2 \rangle_+ := \langle \Psi_1^+, \Psi_2^+ \rangle_n - \langle \Psi_1^-, \Psi_2^- \rangle_n$ is a (positive-definite) inner product. Nevanlinna (1952,1954)

For  $\mathcal{PT}$ -symmetric systems,  $\eta = \mathcal{P}$  defines an indefinite inner product  $\langle \cdot, \cdot \rangle_{\mathcal{D}}$ and the corresponding Nevanlinna inner **product**  $\langle \cdot, \cdot \rangle_+$  is the  $\mathcal{CPT}$ -inner product. In terms of the  $L^2$ -inner product,  $\langle \cdot, \cdot \rangle_{+} = \langle \cdot, \cdot \rangle_{\eta_{+}}$ for some metric operator  $\eta_+ \in \mathcal{U}_H$ .  $\mathcal{C}=\eta_{\pm}^{-1}\mathcal{P}$ 

# C is just a grading operator: $C \Psi^{\pm} = \pm \Psi^{\pm}$ for $\Psi^{\pm} \in \mathcal{H}_{\pm}$ $C^2 = 1$

# $\mathcal{H}_{\pm}$ are invariant under H: $[\mathcal{C}, H] = 0$

 $\mathcal{C} = \eta_+^{-1} \mathcal{P}$ 

## $C^2 = 1$ restricts $\eta_+$ .

The CPT-inner product  $\langle \cdot, \cdot \rangle_{\eta_+}$  that is defined by  $\eta_+$  is not the most general permissible inner product supporting a unitary evolution.

# Calculation of the Most General $\eta_+$

1. Spectral Method:  $\eta_{+} = A^{\dagger} \sum_{n} |\phi_{n}\rangle \langle \phi_{n}| A$ [A, H] = 0.

### 2. Perturbation Theory

**Perturbative Calculation:** Let  $H = H_0 + \epsilon H_1$  where  $H_0$  is Hermitian and  $H_1$  is anti-Hermitian.  $-\text{Let } Q := -\ln \eta_+, \text{ i.e., set } \eta_+ = e^{-Q}$ -Expand:  $Q = \sum_{k=1}^{\infty} Q_k \epsilon^k$ . -Insert in  $H^{\dagger} = \eta_{+}H\eta_{+}^{-1}$  and use Baker-**Campbell-Hausdorff** identity to solve for  $Q_k$  perturbatively.

 $[H_0, Q_1] = -2H_1,$   $[H_0, Q_2] = 0$   $[H_0, Q_3] = \frac{1}{12} [[[H_0, Q_1], Q_1], Q_1],$  $\dots = \dots$ 

 $egin{aligned} &[H_0,Q_k]=f_k(H_0,H_1,Q_1,\cdots,Q_{k-1})\ &\eta_+ ext{ that makes }\mathcal{C}=\eta_+^{-1}\mathcal{P} ext{ an involution}\ &(C^2=1) ext{ class of solutions.} \end{aligned}$ 

# If $H_0 = p^2$ , in the x-representation $[H_0, Q_k] = f_k(H_0, H_1, Q_1, \cdots, Q_{k-1})$ is just the wave equation: $(-\partial_x^2 + \partial_y^2)\langle x|Q_k|y\rangle = f_k(x,y)$ which can be solved exactly. Solution is not unique.

#### **Imaginary Cubic Potential**

 $H = \frac{p^2}{2m} + i\epsilon x^3$ 

#### Calculate $Q_{\ell}$ , X, P, h, $H_c$ , etc.



Terms involving *P* have been missed in an earlier calculation that was based on making an ansatz for the solution.

For CPT-metrics  $a_2 = b_2 = 0$ .

 $H = \frac{p^2}{2m} + i\epsilon x^3 \qquad m = \hbar = 1$ 

 $X = x + \frac{i}{8} \left\{ \{x^4, \frac{1}{p^2}\} + 9\{x^2, \frac{1}{p^4}\} \right\}$  $+\frac{20a_1}{p^6}-4b_1\left\{x,\frac{1}{p^5}\right\}\mathcal{P}\left(\epsilon^2\right)$ 

 $P = p + \frac{i}{4} \left( 2\{x^3, \frac{1}{p}\} + 3\{x, \frac{1}{p^3}\} - \frac{4b_1}{p^4} \mathcal{P} \right) \epsilon + \mathcal{O}(\epsilon^2)$ 

 $h = \frac{p^2}{2} + \frac{3}{16} \left( \{x^6, \frac{1}{p^2}\} + 22\{x^4, \frac{1}{p^4}\} \right)$  $+ (510 + 10a_1) \{x^2, \frac{1}{p^6}\} + \frac{8820 + 140a_1}{p^8} - \frac{4}{3}b_1 \{x^3, \frac{1}{p^5}\} \mathcal{P} \right) \epsilon^2$  $+\frac{1}{4}\left(15a_2(\{x^2,\frac{1}{p^{11}}\}+\frac{44}{p^{13}})\right)$  $+ib_2\{x^3, \frac{1}{p^{10}}\}\mathcal{P}\right)\epsilon^3 + \mathcal{O}(\epsilon^4).$ 

## **Classical Hamiltonian**

# $H_{c} = \frac{p_{c}^{2}}{2m} + \frac{3}{8}m\epsilon^{2}\frac{x_{c}^{6}}{p_{c}^{2}} + \mathcal{O}(\epsilon^{4})$

H<sub>c</sub> is independent of the choice of the metric operator.
This is true in all orders of the perturbation theory.

### Classical Phase Space Orbits of Imaginary Cubic Potential



$$H_{c} = \frac{p_{c}^{2}}{2m} + \frac{3}{8} m \epsilon^{2} \frac{x_{c}^{6}}{p_{c}^{2}} + \mathcal{O}(\epsilon^{4})$$

$$m = 1, \quad \epsilon = 0.1$$

### **QM of Klein-Gordon Fields**

 $\mathcal{H} = L^2(\mathbb{R}^3) \oplus L^2(\mathbb{R}^3)$ 

 $\xi \in \mathcal{H} \quad \Leftrightarrow \quad \xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}, \quad \xi_i \in L^2(\mathbb{R}^3)$ 

 $\langle \boldsymbol{\xi} | \boldsymbol{\zeta} \rangle := \int d^3 x \left[ \xi_1(\vec{x})^* \zeta_1(\vec{x}) + \xi_2(\vec{x})^* \zeta_2(\vec{x}) \right]$ 

Let 
$$H : \mathcal{H} \to \mathcal{H}$$
 be defined by  

$$H = \frac{\hbar}{2} \begin{pmatrix} \lambda D + \lambda^{-1} & \lambda D - \lambda^{-1} \\ -\lambda D + \lambda^{-1} & -\lambda D - \lambda^{-1} \end{pmatrix}$$

$$D := \hbar^{-2} \left[ \vec{p}^2 + (mc)^2 \right], \quad \lambda, m \in \mathbb{R}^+$$
•  $H$  is  $\sigma_3$ -pseudo-Hermitian.  
•  $H$  is diagonalizable.  
•  $H$  is diagonalizable.



 $\psi: \mathbb{R} \times \mathbb{R}^3 \to \mathbb{C}$  is a KG field:  $(\partial^{\nu}\partial_{\nu} + \mu^2)\psi(x^0, \vec{x}) = 0, \qquad \mu = mc/\hbar$  $\psi(x^0,\cdot)\,,\,\,\dot{\psi}(x^0,\cdot)\in L^2(\mathbb{R}^3)$  $egin{aligned} \Psi(m{x^0}) &:= \left(egin{aligned} \psi(x^0,\cdot) + i\lambda\,\dot{\psi}(x^0,\cdot) \ \psi(x^0,\cdot) - i\lambda\,\dot{\psi}(x^0,\cdot) \end{array}
ight) \in \mathcal{H} \end{aligned}$ For all  $\tau \in \mathbb{R}$ ,  $U_{\tau}\psi := \frac{1}{2\sqrt{\mu\lambda}}\Psi(\tau)$ 

# $\mathcal{V} :=$ Vector Space of all KG fields $U_{\tau}: \mathcal{V} \to \mathcal{H}$ is linear & invertible. General inner product $(\cdot, \cdot)$ on $\mathcal{V}$ : $(\psi,\psi') := \langle \overline{U_{\tau}}\psi,\overline{U_{\tau}}\psi' angle_{\eta_+}$ $\eta_{+} = \frac{1}{2} \mathbf{A}^{\dagger} \left( \begin{array}{c} \cosh S & \sinh S \\ \sinh S & \cosh S \end{array} \right) \mathbf{A}$

**Demanding Lorentz invariance** of  $(\psi, \psi')$  restricts the choice of A that fixes  $\eta_+$  to a parameter  $a \in (-1, 1)$  and a trivial scaling factor  $\kappa \in \mathbb{R}^+$ .

 $(\cdot, \cdot)$  is independent of  $\lambda$  and  $\tau$ .

Hilbert Space of KG Fields:

 $\mathcal{H}_{\boldsymbol{a}} = (\mathcal{V}, \overline{(\cdot, \cdot)}_{\boldsymbol{a}})$ 

 $(\boldsymbol{\psi}, \boldsymbol{\psi})_{\boldsymbol{a}} = \int_{\sigma} J_{\boldsymbol{a}}^{\boldsymbol{\mu}} d\sigma_{\boldsymbol{\mu}}, \quad \boldsymbol{a} \in (-1, 1)$  $J_a^{\mu} = \kappa \left( J^{\mu} + a \, J_{\rm KG}^{\mu} \right), \qquad \kappa \in \mathbb{R}^+,$  $egin{aligned} &J^{\mu}_{\mathrm{KG}}(x) := -rac{i}{2\mu} \left\{ \psi(x)^{*} \stackrel{\leftrightarrow}{\partial^{\mu}} \psi(x) 
ight\} \ &J^{\mu}(x) := -rac{i}{2\mu} \left\{ \psi(x)^{*} \stackrel{\leftrightarrow}{\partial^{\mu}} C \psi(x) 
ight\} \end{aligned}$  $C := i D^{-1/2} \partial_0 \quad \partial_\mu J_a^\mu = 0$ 

### $\psi_{\pm} := \pm \text{-frequency part of } \psi$ $C\psi_{\pm} = \pm \psi_{\pm}$

# Nivanlinna Construction (CPT-inner product): a = 0

$$(\psi, \psi')_0 = \kappa \left[ (\psi_+, \psi'_+)_{\rm KG} - (\psi_-, \psi'_-)_{\rm KG} \right]$$

Group-averaging method [Woddard (1993)] Symplectic method [Kay & Wald (1991), Wald (1994)] Greens's function method [Halliwel & Ortiz (1993)]

# • By construction $U_{\tau} : \mathcal{H}_{a} \to \mathcal{H}_{n_{\perp}}$ is unitary. • $\rho = \sqrt{\eta_+} : \mathcal{H}_{\eta_+} \to \mathcal{H}$ is also unitary. $\mathcal{H}_{a} \xrightarrow{U_{\tau}} \mathcal{H}_{n_{\perp}} \xrightarrow{\rho} \mathcal{H} = L^{2}(\mathbb{R}^{3}) \oplus L^{2}(\mathbb{R}^{3})$

**Equivalent Representations:** Covariant Rep.:  $(\mathcal{H}_a, \mathcal{H}_a, \mathcal{O}_a)$ Pseudo-Hermitian Rep.:  $(\mathcal{H}_{n_{\perp}}, H, O)$ Hermitian Rep.:  $(\mathcal{H}, h, o)$  $h := \rho H \rho^{-1},$  $O = \rho^{-1} o \rho$  $H_{a} := U_{\tau}^{-1} H U_{\tau}, \quad O_{a} = U_{\tau}^{-1} O U_{\tau}$  $H_a$ : Generator of time-translations

# For a = 0: $h = \begin{pmatrix} \sqrt{p^2 + (mc)^2} & 0 \\ 0 & -\sqrt{p^2 + (mc)^2} \end{pmatrix}$

So  $\rho U_{\tau} : \mathcal{H}_0 \to \mathcal{H}$  is the Foldy-Whouthuysen transformation.

### For $o = \vec{p} \otimes 1$ , $O_0 = \vec{p}$ .

For  $o = \vec{x} \otimes 1$ ,  $O_a = \vec{X}_a$  is a relativistic

position operator.

 $\vec{X}_0$  restricted to +-frequency fields is precisely the Newton-Wigner position operator.

**Localized States:** 

 $\vec{X}_a \psi_a^{(\vec{x},\pm)} = \vec{x} \, \psi_a^{(\vec{x},\pm)},$ 

 $C \psi_{a}^{(\vec{x},\pm)} = \pm \psi_{a}^{(\vec{x},\pm)}$ 

One can define a probability density  $\mathcal{J}_{a}^{\mu}$ associated with  $\vec{X}_a$  but as is well-known [Peierls and Pauli] it cannot be covariant. It turns out not be even conserved  $(\partial_{\mu}\mathcal{J}^{\mu}_{a} \neq 0)$ . But the total probability is conserved, because

 $\int d^3x \ \mathcal{J}_a^0 = \int d^3x \ J_a^0 \ \partial_\mu J_a^\mu = 0$ 

# Global Gauge Symmetry

 $\psi \to e^{i\theta(C+a)}\psi$ 

# $e^{i\theta(C+a)} \in \begin{cases} U(1) \text{ for } a \in \mathbb{Q} \\ \mathbb{R}^+ \text{ for } a \notin \mathbb{Q} \end{cases}$

 $\mathbb{R}^+$  := multiplicative group of + reals

### Conclusion

- Not fixing the geometry of the Hilbert space reveals an infinite class of equivalent representations of QM.
- The classical limit of PT-symmetric non-Hermitian Hamiltonians may be obtained using the Hermitian rep. of the corresponding systems.
- *CPT*-inner product is not the most general permissible inner product.

- For imaginary cubic potential the classical Hamiltonian is insensitive to the choice of the metric.
- In the Hermitian rep. the Hamiltonian is generally nonlocal while in the pseudo-Hermitian rep. the basic observables are nonlocal. This seems to indicate a duality between non-Hermiticity and nonlocality.
- Pseudo-Hermiticity yields a consistent QM of free KG fields with a genuine probabilistic interpretation. The same holds for real fields and fields interacting with a time-independent magnetic field.

- A direct application of the method fails for interactions with other EM fields, for one can prove that in this case time-translations are non-unitary for every choice of an inner product on the space of KG fields (Klein Paradox).
- Application: Construction of Relativistic Coherent States [A.M. & F. Zamani].

# Thank You for Your Attention